

# Convolutional Soft Decision Trees

Alper Ahmetoğlu<sup>1</sup>   Ozan İrsoy<sup>2</sup>   Ethem Alpaydın<sup>1</sup>

<sup>1</sup>Department of Computer Engineering  
Boğaziçi University  
İstanbul, Turkey

<sup>2</sup>Bloomberg LP  
NY, U.S.A.

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# Soft decision trees

Response of a binary decision tree node  $m$ :

$$F_m(\mathbf{x}) = F_{ml}(\mathbf{x})g_m(\mathbf{x}) + F_{mr}(\mathbf{x})(1 - g_m(\mathbf{x})) \quad (1)$$

In a hard decision tree,  $g_m(\mathbf{x}) \in \{0, 1\}$ .

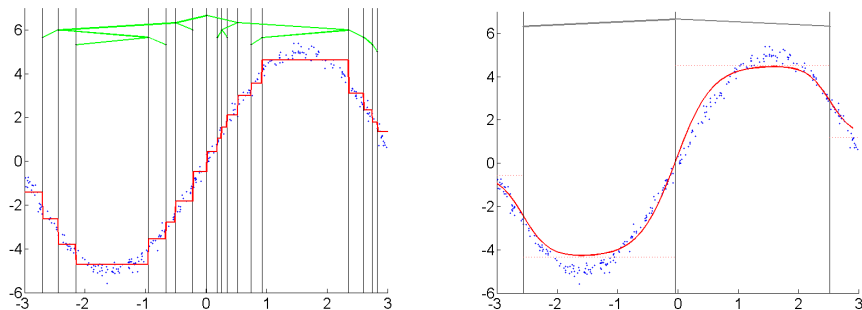
In a soft decision tree,  $g_m(\mathbf{x}) \in [0, 1]$ , where

$$g_m(\mathbf{x}) = \frac{1}{1 + e^{-(\mathbf{w}^T \mathbf{x})}} \quad (2)$$

Leaves contain constant values,  $\rho_m$ . They can be also parameterized by adding a linear projector,  $\rho_m = V\mathbf{x}$ .

Also known as hierarchical mixtures of experts (Jordan and Jacobs, 1993).

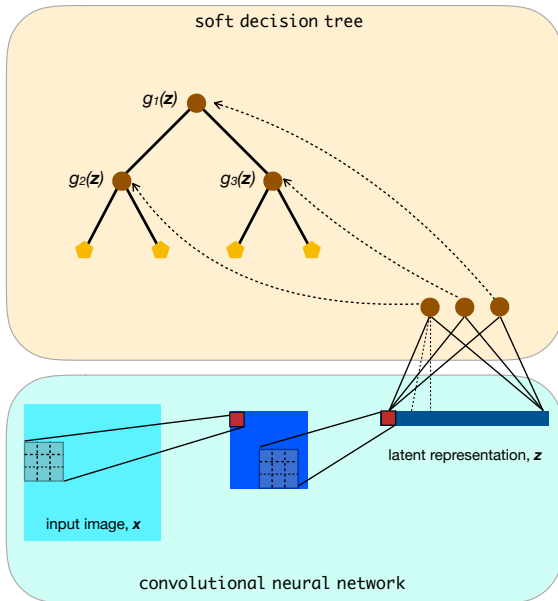
Because of this we can fit to data smoothly with fewer number of nodes.



**Figure:** A hard decision tree (left) and a soft decision tree (right). Reprinted from İrsoy et al. 2012.

# Convolutional soft decision trees

- A more complex gating function results in a more complex model, therefore brings representational advantage.
- We can choose any differentiable  $g(\mathbf{x})$ .
- In this work, we choose  $g(\mathbf{x})$  to be a convolutional neural network.



# Regularization of soft decision trees

- When the representational power of  $g(\mathbf{x})$  increases model becomes prone to overfitting.
- Previously,  $L^2$  and  $L^1$  regularizations for soft decision trees are examined and  $L^2$  is reported to work slightly better (Yıldız et al. 2013).
- We compare  $L^2$  regularization with input dropout regularization.

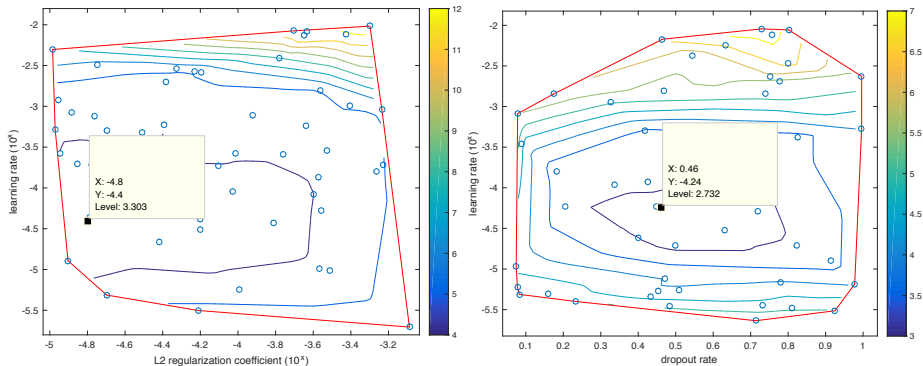
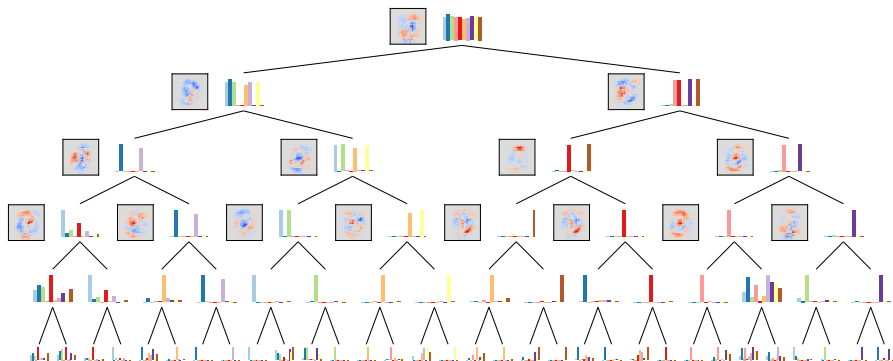


Figure: Error surfaces with respect to different hyperparameter settings.

$dim(z)$	SDT-3	SDT-4	SDT-5	SDT-L3	SDT-L4	SDT-L5	MLP-8	MLP-16	MLP-32
MNIST									
Orig. $x$	11.96	7.99	7.51	2.67	2.57	<b>2.30</b>	7.76	4.74	3.16
50	1.37	1.08	0.76	0.72	0.71	0.63	0.56	0.54	<b>0.52</b>
100	1.02	0.96	0.98	0.66	0.67	0.74	<b>0.59</b>	0.61	0.59
200	1.11	0.84	0.95	0.76	0.76	0.62	0.68	<b>0.55</b>	0.57
Fashion-MNIST									
Orig. $x$	20.95	29.80	20.83	11.94	11.50	<b>11.35</b>	16.66	14.50	13.47
50	10.46	10.24	10.56	7.36	<b>7.28</b>	8.08	8.02	7.55	7.73
100	10.12	10.40	9.76	7.89	<b>7.36</b>	8.05	8.16	7.67	7.56
200	12.28	9.14	10.37	7.55	7.18	<b>7.08</b>	7.59	7.51	7.81
CIFAR-10									
50	9.38	9.52	9.18	8.85	8.76	<b>8.64</b>	8.94	8.66	8.99
100	9.71	9.27	9.67	8.83	8.72	8.96	9.02	<b>8.69</b>	9.07
200	11.83	10.90	9.95	8.91	9.60	9.75	9.16	9.01	<b>8.85</b>





**Figure:** Colored vertical bars represent class distributions on each decision node for MNIST. On the left of decision nodes are average gradients w.r.t. input (red is high, blue is low).

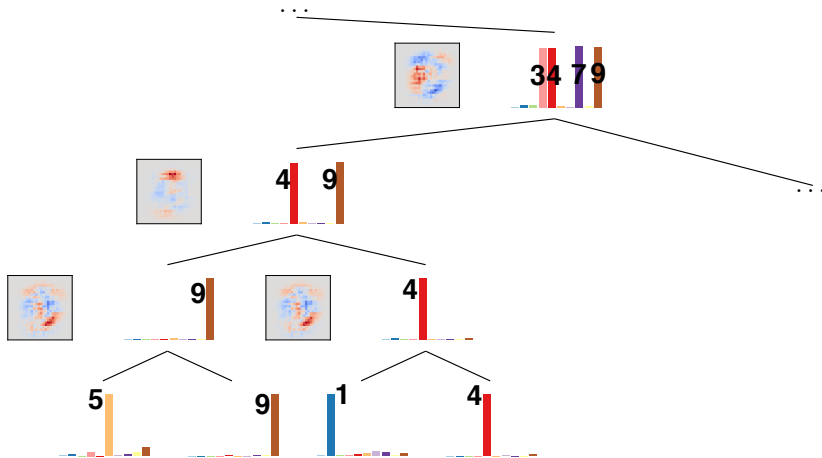


Figure: red: positively high, blue: negatively high, gray: low

# Conclusions

- CSDT performs comparable to a CNN with dense layers.
- CSDT is interpretable. We can analyze its hierarchical decisions.
- Dropout regularization in SDTs is slightly better than  $L^2$  regularization.

Thank you for your attention.  
Questions are welcome.